SCOTTISH POLICE AUTHORITY

AUDIT, RISK AND ASSURANCE COMMITTEE

Rolling 12 Month Work Plan

MONTH		JAN 2022	FEB 2022	MAR 2022	APR 2022	MAY 2022	JUN 2022	JUL 2022	AUG 2022	SEPT 2022	OCT 2022	NOV 2022	DEC 2022
SPA Audit, Risk and Assurance Committee Date		20th	No mtg	No mtg	No mtg	Date tbc	No mtg	Date tbc	No mtg	Date tbc	No mtg	No mtg	No mtg
All Meetings Standing Items	• Minute/ Action Log from Previous Meeting • Internal Audit Update • Improvement Tracker (SPA and PS) • Workplan Review	✓				✓		✓		✓			
Internal Audit Reports	Annual Internal Audit Report					✓							
	Overtime and Allowances	✓											
	ICT Service Delivery					✓							
	Estates Management	✓											
	Strategic Planning					✓							
	Core Financials					✓							
	SPRM					✓							
	Health and Safety					✓							
	Procurement and Tendering					✓							
	Data Quality and Integrity	✓											
Quarterly Reports	Risk Management	✓				✓		✓		✓			
Bi-Annual Reports	SPA Information Management					✓				✓			
	PS Information Management					✓				✓			
	Cyber security update	✓						✓					
	Whistleblowing	✓						✓					
	Transformational Change												
Annual Cyclical Items	Annual Internal Audit Plan and future years audit plan	✓											
	Annual External Audit Plan					✓							
	Draft Annual Report and Accounts							✓		✓			
	Audit Scotland Management Report					✓							
	Pre submission to SG							✓					

Audit Risk and Assurance Committee Rolling Work Plan

Category	Item												
	• Annual Data Loss Report • Annual Fraud & Theft Report												
	Project Plan for Preparation of Annual Accounts					✓							
	Whistleblowing Annual Report SPA/PS							✓					
	Annual Review of Terms of Reference					✓							
	HMICS Scrutiny Plan							✓					
	Annual Review of Committee Effectiveness					✓							
	All Committee Effectiveness Reports							✓					
	Annual External Audit, Audit Report									✓			
	SPA Info Management Strategy	✓											
	Information Management Year End Review					✓							
Two Yearly	National Fraud Initiative (next reported July 2023)												
	Technical Updates												
	ICT Incidents												
	Committee Strategic session following best value review												
	Custody and Risk												
	Climate Change												
	Ethics and HR Issues												
	Business Continuity												
	Safe guarding												
	Partnership working including local scrutiny												
	ICVS Report					✓							
	Evidence based assurance												

Audit Risk and Assurance Committee Rolling Work Plan