



Meeting	Audit, Risk and Assurance Committee
Date	2 August 2023
Location	MS Teams
Title of Paper	Police Scotland Audit and Improvement Recommendation Tracker – Q1 April to June 2023
Presented By	Angela Wood, Head of Policy Audit Risk and Assurance
Recommendation to Members	For Discussion
Appendix Attached	Yes Appendix A: Audit and Improvement Dashboard Appendix B: Audit and Improvement Tracker





PURPOSE

The purpose of this paper is to provide the Audit, Risk and Assurance Committee with an update of current open recommendations from all audit and improvement activity.

Members are invited to discuss the progress detailed within the report.

1 BACKGROUND

- 1.1 A report on Police Scotland's management of recommendations made by external scrutiny bodies. The report is produced on a quarterly basis for Members review. A copy of the Dashboard is available at **Appendix A**.
- 1.2 The Tracker is provided at **Appendix B**. This provides a breakdown of all publications, risk and a summary of progress. We are now including an extract from the Independent Review of Complaints Handling recommendations at the end of the Tracker. This shows the recommendations being tracked that relate to Police Scotland only along with their current status.
- 1.3 All recommendations are assessed in terms of the risk they present to Police Scotland so that we can prioritise activity. Internal Audit use the following risk grading structure and this has been applied to all recommendations within Police Scotland, regardless of whether they have been made by Internal Audit. This ensures a consistent approach is taken, allows for prioritisation and enables comparisons to be made.

	Very high risk exposure - major concerns requiring immediate senior attention that create fundamental risks within the organisation
	High risk exposure - absence / failure of key controls that create significant risks within the organisation.
	Moderate risk exposure - controls are not working effectively and efficiently and may create moderate risk within the organisation
	Low risk exposure - controls are working effectively, but could be strengthened to prevent the creation of minor risks or address general house-keeping issues

2 FURTHER DETAIL ON THE REPORT

- 2.1 Refer to Appendix A – Audit and Inspection Recommendations Dashboard.

3. FINANCIAL IMPLICATIONS

3.1 There are no financial implications in this report.

4. PERSONNEL IMPLICATIONS

4.1 There are no personnel implications in this report.

5. LEGAL IMPLICATIONS

5.1 There are no legal implications in this report.

6. REPUTATIONAL IMPLICATIONS

6.1 There are no reputational implications in this report.

7. SOCIAL IMPLICATIONS

7.1 There are no social implications in this report.

8. COMMUNITY IMPACT

8.1 There are no community implications in this report.

9. EQUALITIES IMPLICATIONS

9.1 There are no equality implications in this report.

10. ENVIRONMENT IMPLICATIONS

10.1 There are no environmental implications in this report.

RECOMMENDATIONS

Members are invited to discuss the progress detailed within the report.



Audit and Inspection Recommendations Dashboard

Reporting Period: Q1 April to June 2023

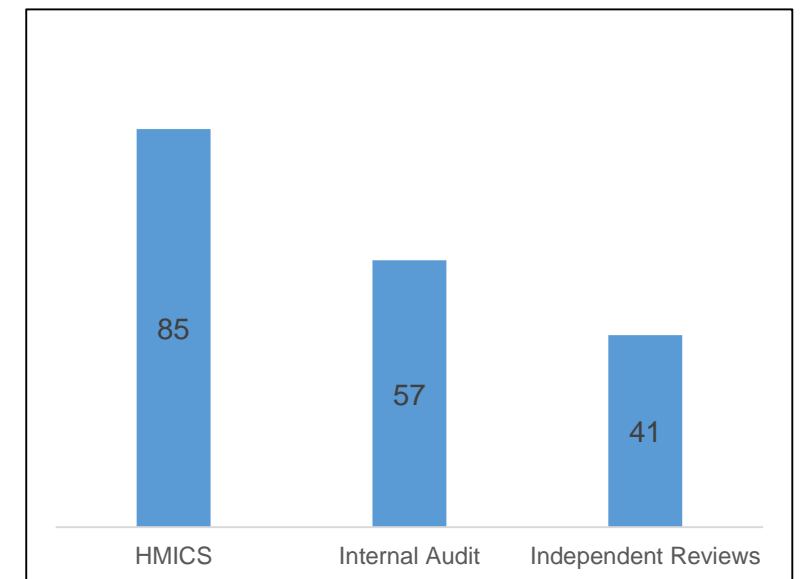
Police Scotland Recommendations Dashboard

Total	Ongoing	Delayed	Pending	Very High Risk	Closed to date
183	146	31	6	5	45

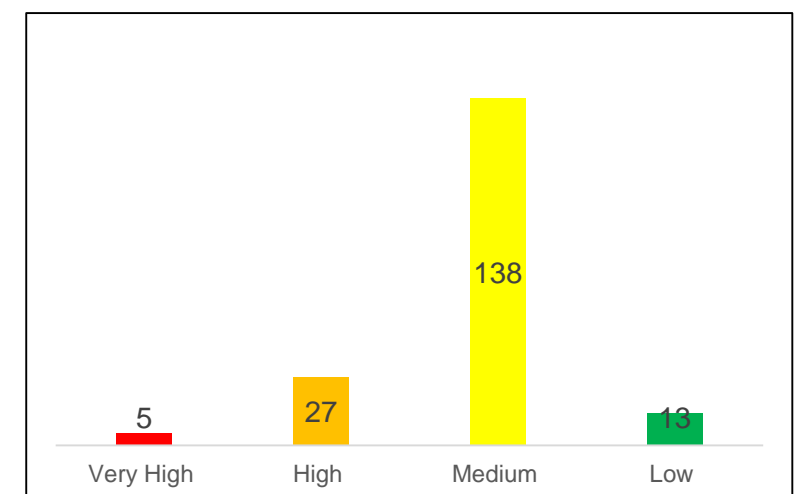
Recommendations Management – Highlights

- 26 new recommendations were added since the last meeting. 16 Internal Audit and 8 Independent Reviews.
- 60 recommendations were due for closure up to the end of June 2023
 - 45 closed
 - 2 closed but awaiting evidence
 - 2 new delays in the quarter – shown on slide 6
 - 10 previously delayed but not addressed at this milestone
 - 78% achieved on time for this quarter
- 6 recommendations are pending which means the action is complete but requires evidence of implementation/impact or they have been put on hold as they will be addressed by a dependency. Shown on Slides 3-5.
- 3% of recommendations have a Very High Risk status. 15% of recommendations have a High Risk status.
- Overall 83% of open recommendations are on track.

Open by Business Area & Risk



Risk Assessment



Pending

Recommendations where we have taken all the action we set out to but we cannot yet evidence the impact or there might be a dependency that is delaying this work.

Report / Date	Recommendation	Risk	Date	Owner	Status
Benefits Realisation Internal Audit October 2020	<p>3.2 Performance Impact of Realised Benefits</p> <p>We have previously raised recommendations in our 2019/20 review Demand and Productivity that relate to the development of measures of demand and capacity and the implementation of the Resource Allocation Model, which we understand will provide a greater ability to view organisational performance from a productivity and efficiency perspective.</p> <p>In the interim we recommend Police Scotland convert forecast or realised FTE benefits into a clearly articulated performance impact expressed in terms of operational performance metrics. Pending the review and implementation of the Resource Allocation Model</p>	High	Dec-21 Mar 23	Digital	<p>Meeting took place between Change/APU to discuss. Consideration included applying the process retrospectively to the CJSD example. Whilst possible there has been no validation of the data and the performance impact would be difficult to show.</p> <p>Another example that is in progress relates to COS Crime. A benefits meeting to take place mid March and APU to be invited to explore options. The current target date is a milestone where we will be in a position to confirm next steps.</p>
Benefits Realisation Internal Audit October 2020	<p>5.1 Organisational Performance Reporting</p> <p>Performance reporting should be revised to reflect realised benefits in terms of their impact on organisational performance. This may be contingent on the implementation of actions to address MAP 3.1 and 4.1, which would provide for the availability of relevant data to carry out this analysis.</p>	High	Dec-21 Mar 23	Digital	<p>Meeting took place between Change/APU to discuss. Consideration included applying the process retrospectively to the CJSD example. Whilst possible there has been no validation of the data and the performance impact would be difficult to show.</p> <p>Another example that is in progress relates to COS Crime. A benefits meeting to take place mid March and APU to be invited to explore options. The current target date is a milestone where we will be in a position to confirm next steps.</p>

Pending

Recommendations where we have taken all the action we set out to but we cannot yet evidence the impact or there might be a dependency that is delaying this work.

Report / Date	Recommendation	Risk	Date	Owner	Status / Reason on Hold
Independent Complaints Handling Nov 2020	R18 Discrimination Culture In the light of the very worrying evidence that I have received, I consider that issues related to discrimination and their impact on public confidence in Police Scotland should be the subject of a broader, fundamental review of equality matters by an independent organisation. That review should take into account HMICS's proposed inspection of Training and Development that is to concentrate on the recruitment, retention, development and promotion of under-represented groups.	M	Sept 22 Dec 23	People & Development	<p>Outwith PS Control An Independent Review Group has been established to provide critical oversight of equality matters, guide strategic direction and scrutinise activity. HMICS previously indicated that they would not close this recommendation until the IRG report on their findings.</p> <p>The IRG were originally due to report in September 2022 but this has been delayed. An interim report was provided in May 2023 with a second due towards the end of the year. Full reporting will take place early 2024.</p>

Pending

Recommendations where we have taken all the action we set out to but we cannot yet evidence the impact or there might be a dependency that is delaying this work.

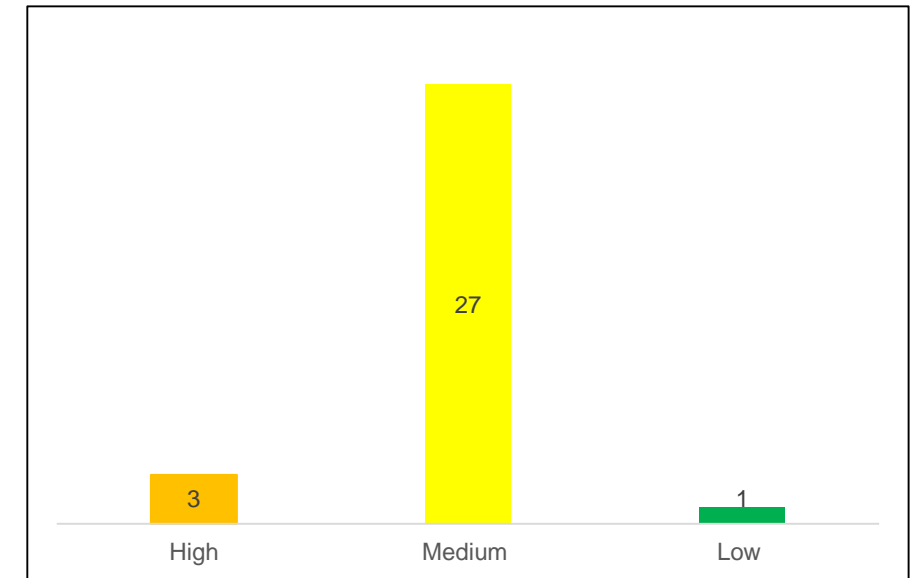
Report / Date	Recommendation Summary Title	Risk	Date	Owner	Status / Reason on hold
ICT Service Delivery April 22	3.1 Demand Planning and Prioritisation We recommend that Digital Division, in collaboration with senior stakeholders in the organisation, develops and implements formal processes through which resource demands are collated and prioritised. Formal criteria should be developed to allow a consistent approach to prioritisation of demand over a rolling 12-18 month period.	H	Dec-22 Aug 23	Digital	Will be delivered or superseded by the revised Target Operating Model and Digital Strategy. A milestone date of August 2023 has been applied to these actions when the TOM/Strategy will have gone through governance and clarity on next steps and deliverables will be known.
ICT Service Delivery April 22	4.1 Resource Planning The Digital Division should establish formal resource management processes for non-Change programme activity.	H	Dec-22 Unknown	Digital	Will be delivered or superseded by the revised Target Operating Model and Digital Strategy. A milestone date of August 2023 has been applied to these actions when the TOM/Strategy will have gone through governance and clarity on next steps and deliverables will be known.
ICT Service Delivery April 22	2.2 Service Catalogue We recommend that the Digital Division updates their service catalogue to cover all services within their portfolio, with reference to associated SLAs, targets, objectives, or performance expectations.	M	Dec-22 Unknown	Digital	Will be delivered or superseded by the revised Target Operating Model and Digital Strategy. A milestone date of August 2023 has been applied to these actions when the TOM/Strategy will have gone through governance and clarity on next steps and deliverables will be known.

Delayed – Overview

Overview

- 31 recommendations have incurred delays. 2 new this quarter shown below.
- Complexity, re-prioritisation, dependencies with other work and resourcing are some of the main reasons why recommendations are delayed.
- We have re-planned some actions following deep dives and confirmed the need to continue progressing these.
- NEW DELAYS

Risk Profile of Delays



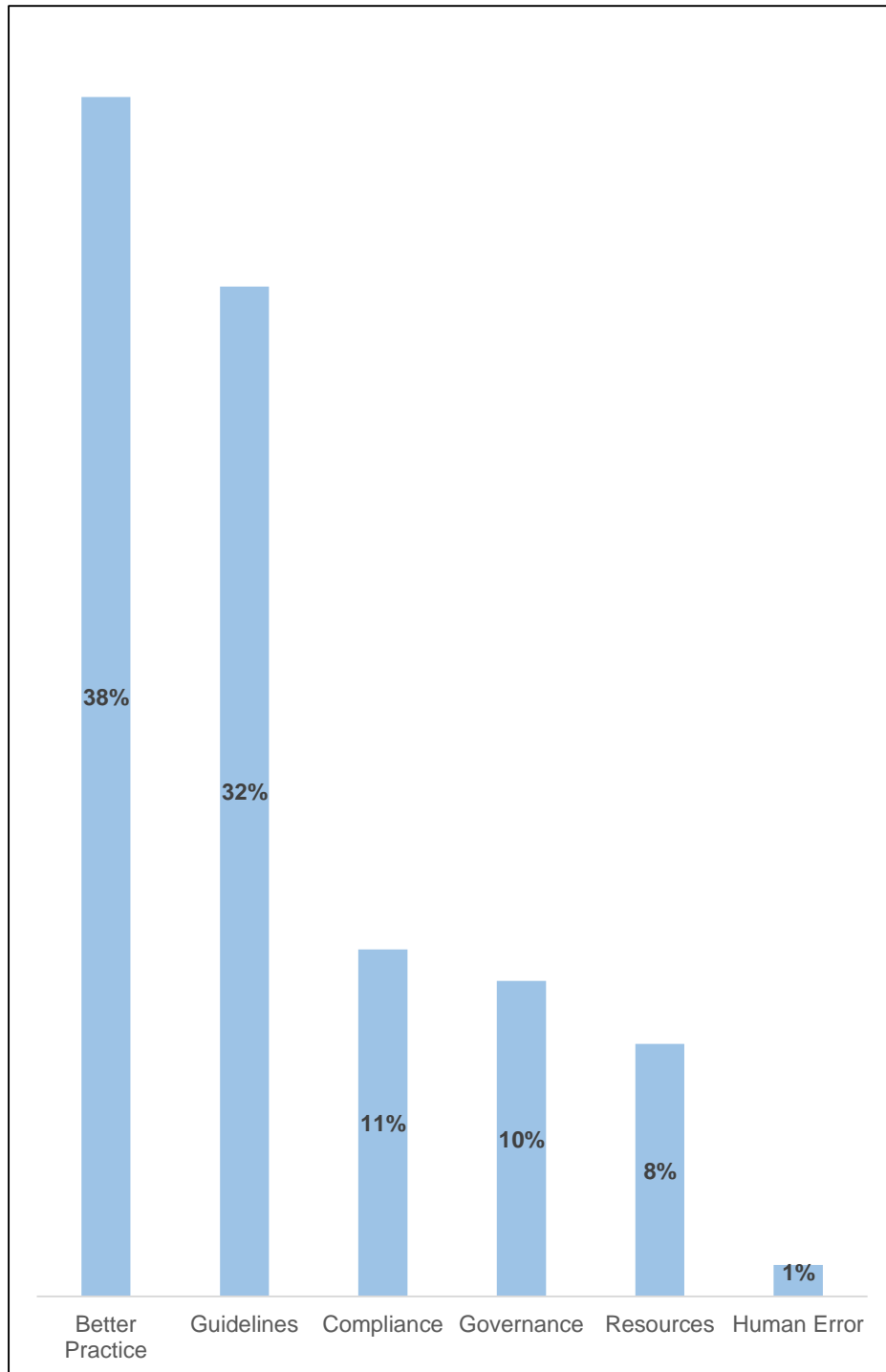
Report / Date	Recommendation Summary	Risk	Date	Delays	Reason
Vetting Internal Audit June 2022	1.1 Designated Posts Update the Designated Post list to accurately reflect those posts requiring access to sensitive information, intelligence, financial or operational assets and the associated level of vetting required.	High	July 2023 Oct 2023	Tbd	Milestone applied to end of October to confirm % completion. The delays have been due to waiting to complete this on a national basis.
Compliance PAVA / Airwave	1.4 PAVA - Training We endorse the action taken to date by management in requiring all officers to undertake re-certification within a defined timescale as a means of mitigating the risk in this area. Any officers who have not met the recertification requirements should be subject to targeted intervention to ensure this is completed as a matter of priority.	Medium	June 2023 Aug 2023	2 months	A sufficiency of training spaces was made available over this period across the breadth of the country, however a high number of individuals will not meet this deadline; in part due to significant operational events and other training priorities such as COS. Recognising the resulting impact and risk, the decision has been taken to extend the recertification deadline until 31 August 2023 to further support Divisions. There will be no further extension beyond this date.

Delayed - Assurances

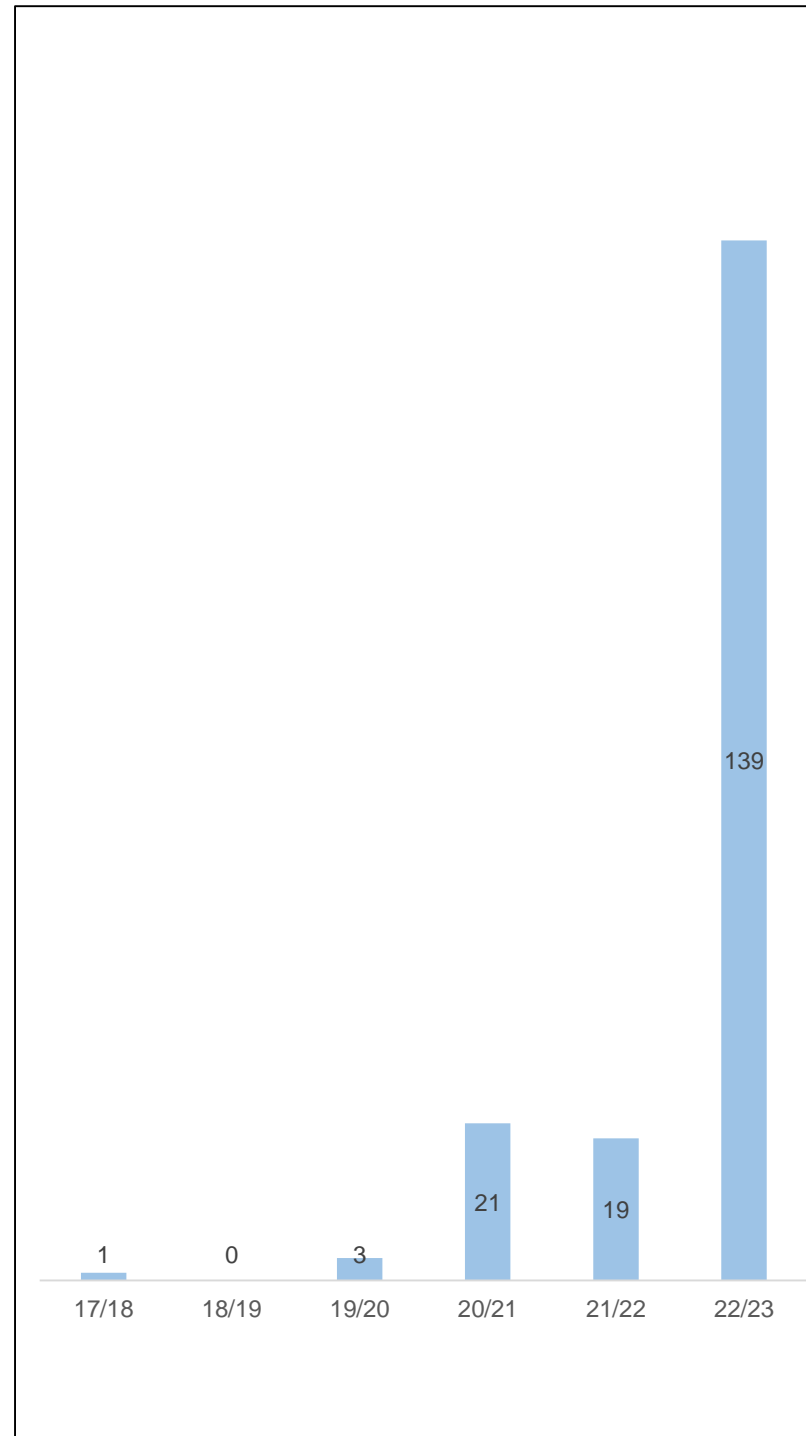
- We are making good progress with recommendations but are starting to see a number where we are having to reprioritise action because we were too ambitious or not taking account of the complexities or dependencies.
- We are closing a high percentage on time and on occasion earlier than planned and we are putting effort towards those with a high risk status.
- Where we have delays, there are typically good reasons why and we are starting to see greater evidence of these actions being built into Divisional/Departmental delivery plans.
- When delays have occurred we have assessed the work undertaken to be substantial and delays are not due to actions being overlooked. When recommendations relate to growth areas or developing new approaches, these tend to take longer to resolve and often require to be replanned.
- We continue to set ambitious timescales and we encourage business areas to consider a target date that shows evidence of implementation rather than evidence of action taken. We encourage the use of milestones to keep track and show progress.
- We encourage careful consideration of target dates but appreciate when the work required is extensive there may always be dependencies or the scheduling of action may need to be revisited. Therefore, it is important to acknowledge that on occasion some recommendations will be delayed but our internal governance processes ensures a continued focus on these and prioritising the areas in greatest need.
- We report to Management Teams or have given business areas their own enhanced access so they can take reports from 4Action. This ensures a continued focus on recommendations and allows forward planning to be undertaken.

Police Scotland Recommendations Trends

Recommendations by Theme (%)



Recommendations by Age



Summary

- Themes** – The largest proportion of recommendations relate to Better Practice meaning these are opportunities for continuous improvement rather than relating to non-compliance. There remain high numbers of recommendations in the Guidelines category which relates to policies, procedures to govern what, why and how activities are progressed. There is minimal movement since the last update in the other categories.
- Age** – There has been positive movement with the closure of 6 of the oldest recommendations in 2018/19 & 2019/20. We continue to focus on reducing the number of recommendations in the oldest age category.

Internal Audit Recommendations Progress Tracker - June 2023									
Risk Grading Key	4	Very High Risk Exposure	3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
Benefits Realisation October 2020 11 recommendations	1	1					ON HOLD PENDING AVAILABILITY OF EVIDENCE These remaining recommendations are complex to address and evidence. We have documented the process within procedural documents to evidence the process in place in the absence of projects which have demonstrated realignment of resource. ARAC rejected our efforts to complete on advice of Azets. We are exploring other options but none of which will see these addressed in the short term.	Change / Strategy & Analysis	100%
	4	2	2						
	3	3							
	3	3							
Legal Claims Handling August 2021 8 recommendations							Has a dependency with wider OL Framework but evidence submitted which outlines improvements to ET and motor claims learning.	Head of Legal Services / ACC Professionalism and Assurance	88%
	2	2							
	5	4		1					
	1	1							
Estates Management December 2022 7 recommendations							1 recommendation open but has been delayed from May 2022 due to the volume of work required and interdependencies. It relates to the development of Estates Plans for National Divisions as per the work undertaken for Local Policing. These are in draft and we expect to finalise for revised date.	CFO	86%
	4	3		1					
	3	3							
ICT Service Delivery April 2022 8 recommendations							3 of the delays are impacted by the development of the Target Operating Model and Digital Strategy which may address or supersede these actions when implemented. Revised milestone dates of August have been applied to these actions once the TOM and Digital Strategy has been approved and there is clarity on next steps. R2.2 Service Catalogue, R3.1 Demand Plan & Prioritisation, R4.1 Resource Planning. 3 other recommendations are experiencing delays but are progressing R1.1 - Service Requests - in order to future proof this area of work a larger change is being implemented. R1.2 - Performance Reporting - This is progressing via the production of a newsletter but has a dependency with updating the Intranet. R2.1 - Performance Indicators - KPIs do exist but there has been limited consultation on their design. Once consultation has taken place to confirm the KPIs are the best fit for Police Scotland then this will address this action.	CDIO	25%
	3	2		1					
	5	1	1	1	2				
Business Continuity Planning June 2022 6 recommendations							Recommendation regarding incorporating the policy within the wider guidance document is underway but has been given more time to enable consultation to take place. The ongoing recommendation relates to training and is due later in the year.	ACC Professionalism and Assurance	67%
	2	1			1				
	3	1		1	1				
	1	1							
Vetting June 2022 17 recommendations							A substantial amount of progress made in addressing these recommendations. Some recommendations are requiring more time to co-ordinate with national approaches.	ACC Professionalism and Assurance / CFO / CDIO	94%
	9	7		1	1				
	7	6		1					
	1	1							

Internal Audit Recommendations Progress Tracker - June 2023														
Risk Grading Key	4	Very High Risk Exposure				3	High Risk Exposure		2	Moderate Risk Exposure		1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion					
		Total Closed	Pending	Closed June	Delayed	On track								
Organisational Learning September 2022 8 recommendations	4 3 2 1						Not yet due	ACC Professionalism and Assurance	0%					
Internal Audit Recommendations Progress Tracker - June 2023														
Risk Grading Key	4	Very High Risk Exposure				3	High Risk Exposure		2	Moderate Risk Exposure		1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion					
		Total Closed	Pending	Closed June	Delayed	On track								
Cyber Resilience January 2023 9 recommendations	4 3 2 1						Not yet due	DCIO	11%					
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion					
		Total Closed	Pending	Closed June	Delayed	On track								
Compliance PAVA / Airwave January 2023 9 recommendations	4 3 2 1						New actions added.	ACC Professionalism and Assurance	0%					
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion					
		Total Closed	Pending	Closed June	Delayed	On track								
Staff Absence and Modified Duties February 2023 11 recommendations	4 3 2 1						New actions added	Director of People and Development	36%					
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion					
		Total Closed	Pending	Closed June	Delayed	On track								
DESC June 2023 8 recommendations	4 3 2 1						5 proposed for closure.	Chief Digital Information Officer	75%					
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion					
		Total Closed	Pending	Closed June	Delayed	On track								
RDU Redesign June 2023 10 recommendations	4 3 2 1						New actions added not yet due	ACC Operational Support	0%					

Audit Scotland / External Audit Recommendations Progress Tracker - June 2023									
Risk Grading Key	4	Very High Risk Exposure	3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
SPA Annual Audit Report 2021/22	4						All actions now addressed	Mixed	100%
10 recommendations (for PS)	3								
	10	6		4					
	1								





































































HMICS Recommendations Progress Tracker - June 2023									
Risk Grading Key	4	Very High Risk Exposure	3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
Undercover Policing February 2018 19 recommendations							Delays R16 - Integrated Record Management System Early testing of the new system revealed that it did not meet our needs. New updated version awaited and anticipated July 2023. Then full testing to take place thereafter.	ACC OCCTI	95%
	6	6							
	4	4							
	9	8		1					
Review of Custody Centres 2018 August 2018 7 recommendations						Submitted to HMICS for closure R01 estate strategy requires the Custody Improvement Plan (MED). Now complete following publication of CJSD 5 Year Strategy. Pending update to HMICS	ACC Local Policing & CJS	100%	
	4	4							
	3	2		1					
LP+ Greater Glasgow Inspection March 2019 11 recommendations						1 recommendation is ongoing relating a review of Campus Officers - report submitted to Divisional SMT with some minor revisions required before finalisation. Short delay to achieve this.	ACC Local Policing West	100%	
	6	6							
	5	4		1					
Greater Glasgow Custody Inspection June 2019 5 recommendations						Further consideration due to feasibility R01 custody queues - Action reviewed for feasibility and discussed with HMICS. Data captured and submitted to HMICS for closure	ACC Local Policing & CJS	100%	
	1	1							
	4	3		1					
LP+ Events Inspection May 2019 15 recommendations						Recommendations remain ongoing. Briefing paper being prepared for July SLB which will present an updated position re resourcing.	ACC Operational Support	80%	
	15	12		3					

HMICS Recommendations Progress Tracker - June 2023									
Risk Grading Key	4	Very High Risk Exposure	3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
Strategic Review of Custody May 2019 12 recommendations	4						Discharged by HMICS	ACC Local Policing & CJS ACC Operational Support	100%
	3	3							
	2	2							
	1	6		1					
Online Child Sexual Abuse February 2020 & August 2021 11 recommendations	4						1 updated and re-submitted for closure relating to the significant uplift in staff Forensic examinations. 2 subject to ongoing discussion regarding closure based on work to date.	ACC Crime and Public Protection / ACC OCCTI	73%
	3	3				2			
	2	4		1	1				
	1								
Training and Development-Phase 1 December 2020 17 recommendations	4						2 submitted for closure in October - awaiting discharge from HMICS. 1 remains open but has a dependency with the Strategic Training Review and will have a delay to align with the People Strategy.	DoPD ACC Partnerships and Prevention	94%
	3								
	2	17	16			1			
	1								
Scottish Crime Recording Audit March 2021 6 recommendations	4						1 recommendation approved for discharge. Major dependency with new Crime Application (COS) which is delayed from original September 2022 date. Comprehensive updates provided to SPA Policing and Performance Committee. In light of some of the developments, these actions are undergoing a comprehensive review to consider action to be taken. R2 SCRS Compliance - strategy revised but more evidence required on culture change which will also be evidence over time and supported by COS. R3 QA processes - a process for health checks and integrity audits is in place with the pilot areas. Will be further enhanced when COS is fully implemented and processes are standardised. R4 Crime Management Units Structure (FMOR dependent) - requires single structure implementation. R5 OL & Training Approaches - is COS dependent R6 Implementation of Single Crime System	ACC Major Crime and Public Protection	17%
	3								
	2	6	1			5			
	1								
Hate Crime June 2021 15 recommendations	4						R2 National Policy and Local Delivery Coordination - COS dependency R3 Dedicated Hate Crime Unit - re-prioritised due to staffing R5 Partnership Relationships and Knowledge Sharing - awaiting SG action to progress R6 Third Party Reporting Improvements - awaiting SG action to progress R8 Hate Crime National Document Review - is ongoing but has a dependency with COS R10 IT Systems Data Review - HC Knowledge and Trends - subject to a business case for new TOM R12 Hate Crime Training Review - a prioritisation exercise is ongoing - Evidence submission prepared. R13 Hate Crime Perpetrated Against Officers and Staff (data and insights)	ACC Preventions, Partnerships and Community Wellbeing	47%
	3								
	2	15	5	2	8				
	1								
Demand, Analysis and Management July 2021 12 recommendation	4						R05 Leadership of Scrutiny Processes - requires evidence of transformation implementation - proposed for closure or pending evidence R07 - Organisational Design	DoSA	92%
	3	1							
	2	11	7	3	1				
	1								

HMICS Recommendations Progress Tracker - June 2023														
Risk Grading Key	4	Very High Risk Exposure				3	High Risk Exposure		2	Moderate Risk Exposure		1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion					
		Total Closed	Pending	Closed June	Delayed	On track								
Training and Development Phase 2 September 2021 10 recommendation	 10 			8		2	Actions progressing and not due. We have 9 out of 10 Evidence Submission Forms sent to HMICS. The remaining recommendation is being worked on.	DoPD	80%					
Custody North East Hub December 2021 3 recommendation	 3 		1	2			actions send to HMICS for closure	ACC Criminal Justice	100%					
Strategic Workforce Planning August 2022 10 recommendation	 10 			1		9	1 recommendation to be closed in due course some replanning is required	DOSA CDIO	10%					
Contact Assessment Model January 2023 8 recommendation	 8 					8	Action plan to be developed and risk assessment applied/confirmed	ACC North	0%					
Domestic Abuse January 2023 14 recommendation	 14 					14	Action plan to be developed and risk assessment applied/confirmed	ACC Major Crime & PP	0%					
Joint Inspection of Custody (Health Improvement Scotland) January 2023 1 recommendation (Police Only)	 1 					1	Action plan to be developed and risk assessment applied/confirmed	ACC Criminal Justice	0%					

HMICS Recommendations Progress Tracker - June 2023										
Risk Grading Key	4	Very High Risk Exposure	3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure		
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion	
		Total Closed	Pending	Closed	Delayed	On track				
Joint Inspection of Alternatives to Prosecution February 2023 4 recommendation (Police Only)	4					4	Action plan to be developed and risk assessment applied/confirmed	ACC Criminal Justice	0%	
Joint Inspection of Custody (Health Improvement Scotland) - LANARKSHIRE April 2023 10 recommendation (Police Only)	4					10	Action plan to be developed and risk assessment applied/confirmed	ACC Criminal Justice	0%	
Forensic Toxicology April 2023 14 recommendation	4					14	Action plan to be developed and risk assessment applied/confirmed	ACC Crime ACC Operational Support	0%	

Other Recommendations Progress Tracker - May 2023

Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
Independent Complaints Handling Review November 2020 26 Police Scotland only recommendations (+10 recommendations where we work in partnership with other organisations but do not have the lead)	   	  21 	  1 	  3 	  1 	3 of the delays relate to changes to Centurion	ACC Professionalism and Assurance	 81%	
ICO Mobile Phone Data Extraction by Police in Scotland June 2021 6 recommendations	   	  2 	  	  	  4 		ACC OCCTI	 33%	
PSNI Independent Review of ET & Grievance 17 learning points / recommendations	   	  6 	  6 	  	  5 	6 proposed for closure A number of others at an advanced stage with closing updates to be provided for another 3. Significant number of dependencies with existing and new workstreams.	Force wide actions ACC Professionalism and Assurance (Chair, SOG)	 71%	
ICO - The processing of victims personal data in rape and sexual crime offences January 2023 4 recommendations	   	  	  	  	  4 	New actions added	ACC Crime	 0%	

Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
Biometric Data - Vulnerable Adults in Police Custody March 2023 3 recommendations	High						New actions added	ACC Crime	0%
	Medium								
	Medium-Low					3			
	Low								

Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
Biometric Data - Children in Police Custody March 2023 4 recommendations	High						New actions added	ACC Crime	0%
	Medium								
	Medium-Low					4			
	Low								

Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
PIRC Triage of Complaints about the Police March 2023 8 recommendations	High						New actions added	ACC Professionalism	0%
	Medium								
	Medium-Low					8			
	Low								

Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)	Completion
		Total Closed	Pending	Closed June	Delayed	On track			
Records Management 2022 Assessment - Keeper of Records Scotland June 2023 8 recommendations	High						New actions added	ACC Professionalism	0%
	Medium								
	Medium-Low					8			
	Low								