Audit, Risk and Assurance Committee
Rolling Action Log

Action No	Action	Action Owner	Status	Expected Date of Completion	Actual Date of Closure	Update/Comments
MEETING HELD 18 MARCH 2022						
20220318 -AUD-001	**Strategic Planning Internal Audit Report:** Management actions to be reworded within objective 2 and 4.	Tom McMahon	**OPEN**	04.05.22		**Propose ongoing**
20220318 -AUD-002	**Strategic Planning Internal Audit Report:** Interim Report to be brought to September Committee detailing progress, in addition to IA progress report.	Tom McMahon	**OPEN**	15.09.22		**Propose ongoing**

Audit, Risk and Committee Committee – Rolling Action Log

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MEETING HELD 20 JANUARY 2022						
20220120-AUD-001	**Overtime and Allowances Internal Audit Report:** Detail to be provided on consideration of alternative processes put in place for those areas which do not have a business unit (control objective no.1)	David Page	**OPEN**	04/05/22		**01.03.22 -** Discussions with the Transformation Audit Manager on this matter are currently ongoing, with the intention of seeking further guidance on how best to satisfy the requirements of the action from the P&D SSMT. **Propose ongoing**
20220120-AUD-002	**Estates Management Internal Audit Report:** Diagram to be provided detailing Police Scotland's internal governance structures and estates transformation governance structure showing where estates fits into the overall structure.	Phil Collard	**OPEN**	04/05/22		**08.03.22 -** Estates are currently working on producing our existing governance structures into one document and this will be discussed with our colleagues within Finance for reviewing and then onto the committee for noting. **Propose ongoing**

Audit, Risk and Assurance Committee – Rolling Action Log

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20220120-AUD-003	**Data Quality and Integrity:** Briefing to be provided on Police Scotland's data ethics framework.	Tbc	**OPEN**	04/05/22		**10.3.22:** The data ethics framework was approved at SLB (October 2021), and subsequently we have been socialising with SPA, which has included a recent presentation at the SPA Members Forum. The suggested next steps in to bring a paper on those Data Ethics proposals to ARAC in May. **20.04.2022:** Framework provided and on agenda for meeting held 4 May. **Propose to Close**
MEETING HELD 16 September 2021						

Audit, Risk and Assurance Committee – Rolling Action Log

Action No	Action	Action Owner	Status	Expected Date of Completion	Actual Date of Closure	Update/Comments
20210916-AUD-001	**Police Scotland Audit and Improvement Tracker:** Future report do provide more comprehensive update on data analysis and benchmarking.	ACC Alan Speirs	**OPEN**	~~20.01.22~~ 04/05/22		**12.01.22:** We have provided more information on the themes and we will continue to work on this if required. On a monthly basis we are reviewing this information to identify any action we can take to address themes and we work with our internal partners in this regard. We perform a detailed review of themes annually as part of our annual report which is typically presented to this Committee in September. In terms of benchmarking, we have carried out benchmarking with other organisations in relation to the management of recommendations and take any learning on board. This remains an objective within our Audit and Assurance Framework.

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						08.03.22: We will continue to provide more comprehensive data analysis on themes within our next follow up report to this Committee due in May 2022. We will provide commentary within that report in relation to the benchmarking activity that has taken place. As well as making contact with The Metropolitan Police and Police Service of Northern Island, we also take full opportunity to utilise any learning from our audit and inspection bodies – Azets and HMICS. **14.04.22:** information on themes and benchmarking activity have been included within the Audit and Inspection Tracker report. **Propose to Close**

| 20210916-AUD-002 | **Police Scotland Audit and Improvement Tracker – Themes and Dependencies:** Future report to split those recommendations in which Police Scotland have full control and those which have dependencies. | ACC Alan Speirs | **OPEN** | ~~20.01.22~~ 04/05/22 | | **12.01.22:** The recommendations previously reported as on hold have been addressed and we have nothing further to report under this section. A number of our recommendations do have dependencies but we are in control of the achievement of these.
08.03.22: There are a number of recommendations with dependencies and these have been mapped. This will be provided within the next follow up report due in May 2022.
08.03.22: We will continue to provide more comprehensive data analysis on themes within our next follow up report to this Committee due in May 2022. We will provide commentary within that report in relation to the benchmarking activity that has taken place. As well as making contact with The Metropolitan Police and Police Service of Northern Island, we also take full opportunity to utilise any learning from our audit and inspection bodies – Azets and HMICS.

14.04.22 – more detail now included within the report in relation to dependencies.

Propose to Close |