

**SCOTTISH POLICE
AUTHORITY**

Meeting	SPA Board Meeting Public Session
Date	24 August 2017
Location	Stirling Court Hotel, Stirling
Title of Paper	Response to HMICS Inspection Phase 1
Item Number	14.2
Presented By	John Foley
Recommendation to Members	For Noting
Appendix Attached	Yes

PURPOSE

To provide Authority members with an update on work to respond to the recommendations in the HMICS Phase 1 Review of SPA Openness and Transparency

1. BACKGROUND

- 1.1 HMICS Published its *Thematic Inspection of the Scottish Police Authority – Phase 1 Review of Openness and Transparency*¹ on 21 June 2017. The review made 11 recommendations. Authority members noted the publication of the review and its recommendations at the Public Board meeting on 22 June 2017. Members also discussed other reviews and inspections of SPA that were ongoing or due to commence and an action was taken for SPA officers to produce a GANTT chart that captures all of those reviews and inspections. A GANTT chart has been produced and is included as Annex A.
- 1.2 SPA is required to respond to HMICS formally with its response to the review as soon as possible. A response is usually expected within three months of a report's publication.

2. ACTION TO RESPOND TO THE REVIEW

- 2.1 A number of the HMICS recommendations are reflected in the Chair's recommendations for governance that were agreed by the SPA Board in May 2017. In particular, these changes address HMICS Recommendation 1 (that Board and Committee meetings should be held in public and committee papers should be made publicly available in advance of meetings).
- 2.2 The amendments to the Governance Framework necessary to implement the changes agreed by the Board in May have been progressed and are covered under Agenda item 14.1.
- 2.3 SPA Officers have had several productive meetings over recent weeks with HMICS to discuss the HMICS Phase 1 recommendations. An action plan to respond to those recommendations has been developed for the Board's consideration in due course and officers are also considering how best to ensure oversight and governance of the plan's implementation. The action plan and proposed arrangements for oversight of the plan's implementation will take into account feedback and suggestions received from HMICS. Overall, HMICS

¹ <http://www.hmics.org/publications/hmics-thematic-inspection-scottish-police-authority-phase-1-review-openness-and-transparency>

has been very supportive of the approach taken by SPA officers to date.

- 2.4 HMICS has offered to brief Members about the findings from this Inspection and arrangements for this briefing are currently being finalised. The intention is then to submit the action plan, together with a proposed approach to governance of the delivery of that plan, to Authority Members for approval at the September Board meeting.

3. FINANCIAL IMPLICATIONS

- 3.1 There are no financial implications in this report.

4. PERSONNEL IMPLICATIONS

- 4.1 There are no direct personnel implications associated with this paper. However, misrepresentation in reporting of aspects of the HMICS review did have an impact on SPA staff morale. Engagement with HMICS since publication has provided assurance that it was not the intention to criticise the capability of SPA staff in carrying out their duties.

5. LEGAL IMPLICATIONS

- 5.1 There are no legal implications associated with this paper.

6. REPUTATIONAL IMPLICATIONS

- 6.1 There are reputational implications associated with this paper.

It is important that through its framework of governance, SPA can demonstrate openness and transparency in all areas of business.

7. SOCIAL IMPLICATIONS

- 7.1 There are no social implications associated with this paper.

8. COMMUNITY IMPACT

- 8.1 There are no community implications associated with this paper.

9. EQUALITIES IMPLICATIONS

9.1 There are no equality implications associated with this paper.

10. ENVIRONMENT IMPLICATIONS

10.1 There are no environmental implications associated with this paper.

RECOMMENDATIONS

Members are requested to note the contents of this paper.

Reviews / Inspections / Audits of the Scottish Police Authority

Note: this chart shows the expected timescales for the review activity only. It does not show the timescales for SPA actions to respond to any recommendations from those reviews.

Source	Review/Inspection/Audit	2017 - 18											
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
HMICS	Thematic Inspection of SPA Forensic Services												
HMICS	Thematic Inspection of SPA - Phase 1 Review of Openness and Transparency												
HMICS	Thematic Inspection of SPA - Phase 2 Full inspection of SPA												
PIRC	Audit of SPA Complaints Arrangements for Senior Officer Complaints												
SPA	SPA Structure Review												
SPA	Review of governance best practice (Code of Governance)												
AS	Audit 2016/17 (inc. Governance & Transparency)												
SIC	Audit of Info Security, Data Sharing and Training												

For completeness, relevant items in the Internal Audit Plan that have an SPA element to them have also been included (as per IA plan March '17).

Source	Review/Inspection/Audit	2017 - 18											
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Internal Audit	A4 – Budgeting & Reporting												
	A5 – Procurement												
	B5 – Governance												
	C2 – Workforce Management												
	C5 – Staff Performance												
	C12 – Project & Programme Management												
	C13 – Organisational Change Management												
	E2 –National Fraud initiative												

	Final Report
	Review Period