

**SCOTTISH POLICE  
AUTHORITY**

<b>Meeting</b>	<b>SPA Audit &amp; Risk Committee</b>
<b>Date and Time</b>	<b>23 October 1315 hrs</b>
<b>Location</b>	<b>The Royal Scots Club, Douglas Room, 29-31 Abercromby PI, Edinburgh EH3 6QE</b>
<b>Title of Paper</b>	<b>Assurance Map</b>
<b>Item Number</b>	<b>21</b>
<b>Presented By</b>	<b>Graham Stickle</b>
<b>For Approval / For Information –</b>	<b>FOR NOTING</b>
<b>Appendix Attached: Yes or No</b>	<b>No</b>

**Purpose**

1. To provide an update on the SPA Assurance Map.

**Background**

2. The structure of the Assurance Map was approved by the Audit and Risk Committee (ARC) in March 2014 and the first iteration reported to the ARC in June 2014. The intention was to refresh and report the Assurance Map on a quarterly basis.
3. The desire to move the map from qualitative to quantitative measurement was also reported in June.
4. The Business Assurance function within Police Scotland is the primary driver for populating and updating the Assurance Map, however, this business area has suffered resourcing challenges over the summer months. Police Scotland are, however, undertaking a scoping review of all internal (level one) assurance in place across the organisation which is due to report internally prior to the ARC but before the date for paper submission. This will enhance the Assurance Map and shape Police Scotland's assurance approach.
5. Assurance within SPA is reviewed on a regular basis. Significant milestones of self-assessment and publication of the Business Plan will be completed shortly and reflected in the next iteration of the Assurance Map presented to the ARC.
6. Feedback has been received from Audit Scotland, shown at Appendix A, recommending changes on how the assurance provided by them is represented on the Assurance Map.

Ref: SPA Audit & Risk Committee  
23 October 2014

**Next Steps**

7. To review and update the Assurance Map, where feasible, including qualitative assessment and report this to the Audit & Risk Committee in January subject to the Police Scotland Business Assurance role and structure being implemented.

**APPENDIX A – Changes to the Assurance Map advised by Audit Scotland**

Operational functions – Police Scotland

Audit Scotland do not provide assurance in respect to Partnership working, Custody, Planning Major Events, Local Policing, Response Policing and Road Policing as reported.

Audit Scotland provides assurance in respect to Leadership & Governance and Community Planning which are not indicated.

Organisation Functions – SPA

Audit Scotland provides assurance in respect to Scrutiny and Risk Management which are not indicated.

Organisation Functions – Police Scotland

Audit Scotland provides assurance in respect to Financial Accounting, Capital Investments, Payroll, Information Management, Performance Management and Risk Management which are not indicated.